

AUFHAUSER SECURITIES: ORDER ROUTING DISCLOSURE DATA Quarter Ending 201109

MMID	Market Center	Total Orders %	Market Orders %	Limit Orders %	Other Orders %
		100	25.1	74.9	0
PERT	PERSHING	88.6	87	89.2	0
TRIM	KNIGHT CAPITAL MARKETS INC.	3.6	4	3.4	0
AUTO	AUTOMATED TRADE	3	3.4	2.8	0
CDRG	CITADEL DERIVATIVES GROUP	2.4	2.8	2.3	0
UBSS	UBS	2.4	2.8	2.3	0
		100	26.3	73.7	0
PERT	PERSHING	91.2	90.6	91.5	0
NITE	KNIGHT EQUITY MARKETS, L.P.	4.7	6	4.3	0
UBSS	UBS	1.8	2.6	1.5	0
AUTO	AUTOMATED TRADE	1.3	0.9	1.5	0
NSDQ	NASDAQ	0.9	0	1.2	0
		100	18.9	81.1	0
CDRG	CITADEL DERIVATIVES GROUP	29.7	20.4	31.9	0
TRIM	KNIGHT CAPITAL MARKETS INC.	23.2	22.4	23.3	0
PERT	PERSHING	20.5	25.5	19.3	0
AUTO	AUTOMATED TRADE	13.7	19.4	12.4	0
UBSS	UBS	6.8	12.2	5.5	0
NSDQ	NASDAQ	6.2	0	7.6	0
		100	0.6	99.2	0.2
NSDQ	NASDAQ	20.2	16.7	20.3	0
BATS	BATS	19.1	8.3	19.2	0
AMEX	NYSE AMEX	16.8	25	16.8	0
CBOE	CHICAGO BOARD OPTIONS EXCHAN	14.5	8.3	14.5	0
ARCA	NYSE ARCA	13	0	13.1	0
PBWE	NASDAQ OMX	12.5	25	12.4	0
ISE	INTERNATIONAL SECURITIES EXCHA	2.4	16.7	2.1	100
BSE	BOSTON OPTION EXCHANGE	1.5	0	1.5	0
C2OX	C2	0.1	0	0.1	0

Excludes Orders directed by clients to Instinet.