

ACH Authorization Agreement

Please complete the following fields to begin the electronic transfer of funds between your brokerage account and your bank/credit union account. You may begin depositing funds into your brokerage account from your bank/credit union account or send payments to your bank/credit union account from your brokerage account. All transactions are processed through the Automated Clearing House (ACH) system.

STEP 1. ACCOUNT INFORMATION

Brokerage Account Number	Brokerage Account Holder's Name(s)
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STEP 2. BANK/CREDIT UNION ACCOUNT INFORMATION

ABA Number	DDA Number	
Bank/Credit Union Name		
City	State	Zip/Postal Code

Account Type: Checking Savings

STEP 3. TYPE OF REQUEST

Please complete all applicable sections.

Standing Instructions Only

Standing instructions only (no assets will be moved at this time).

Distribution Type

- Periodic distributions to bank account (Pay Principal).
 Income distributions to bank account.

**A DISTRIBUTION
REQUEST FORM
IS REQUIRED FOR
RETIREMENT
ACCOUNTS.**

Contribution Type

- Periodic purchase of mutual funds (SRS). ACH is contingent upon the execution of periodic mutual fund purchases.
 Periodic deposits to brokerage account.

For applicable Pershing retirement accounts only:

- | | | |
|---|---|---|
| <input type="checkbox"/> Participant current year | <input type="checkbox"/> Employer prior year | <input type="checkbox"/> Qualified matching |
| <input type="checkbox"/> Employee deferral current year | <input type="checkbox"/> Employer matching current year | <input type="checkbox"/> Qualified non-elective |
| <input type="checkbox"/> Employee deferral prior year | <input type="checkbox"/> Employer matching prior year | <input type="checkbox"/> Voluntary after tax |
| <input type="checkbox"/> Employer current year | | |

Frequency

- | | |
|--|--|
| <input type="checkbox"/> Monthly - Occurs every month | <input type="checkbox"/> Quarterly - Occurs every 3 months |
| <input type="checkbox"/> Semi-monthly - Occurs twice a month | <input type="checkbox"/> Semi-annually - Occurs twice a year |
| <input type="checkbox"/> Bi-monthly - Occurs every other month | <input type="checkbox"/> Annually - Occurs once a year |

Amount for Periodic Deposits and Principal Distributions

Dollar Amount (leave blank for Income Distributions or Required Minimum Distribution)	Start Date
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PCPRACH

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Account Number

Voided Check

Attach an original voided check here.

Jane Doe 123 Anywhere Street Anytown, NY	101	
Pay to the Order of _____ \$ <input style="width: 100px;" type="text"/>		
_____ Dollars		
MY BANK USA		
Memo _____		
⑆ 123456789 ⑆ 12345678910 ⑆ 0101		
↓ Bank Routing Number	↓ Checking Account Number	↓ Check Number

WE CANNOT ACCEPT STARTER CHECKS OR COUNTER CHECKS (OR CHECK NUMBERS BELOW 100).

IF A VOIDED CHECK IS NOT AVAILABLE, PLEASE ATTACH A MICRO ENCODED DEPOSIT SLIP OR BANK STATEMENT THAT INCLUDES FULL BANK NAME, FULL ACCOUNT NUMBER, OR A SIGNATURE GUARANTEED OR NOTARIZED LETTER FROM THE BANK CONFIRMING THE BANK ACCOUNT OWNERSHIP, NUMBER AND ROUTING INFORMATION.

STEP 4. SIGNATURE

I (we) hereby authorize Pershing LLC to initiate credit/debit entries to the bank/credit union account indicated in Step 2 above and further authorize my (our) bank/credit union to debit the same to such account.

This authority is to remain in full force and effect until Pershing has received written notification from me (or either of us) of its termination in such time and in such manner as to afford Pershing and my (our) bank/credit union a reasonable opportunity to act on it. It is understood that if the periodic purchase of mutual funds is selected as a contribution type, this agreement authorizes payment for purchasing securities through my investment professional or financial organization via the Systematic Reinvestment System (SRS).

Print Name	Date
Signature	<input type="text"/>
X	
Print Name	Date
Signature	<input type="text"/>
X	
Print Name	Date
Signature	<input type="text"/>
X	
Print Name	Date
Signature	<input type="text"/>
X	

ALL REGISTERED OWNERS ON YOUR BROKERAGE ACCOUNT AND U.S. BANK, CREDIT UNION OR OTHER FINANCIAL INSTITUTION ACCOUNT ARE REQUIRED TO SIGN THE SAME FORM.

FOR BUSINESS (E.G., CORPORATIONS, LIMITED LIABILITY COMPANIES, PARTNERSHIPS, ETC.) AND TRUST ACCOUNTS, SEPARATE SUPPORTING DOCUMENTATION CONFIRMING THE SIGNATURE AUTHORITY FOR BOTH THE BROKERAGE AND BANK ACCOUNTS ARE REQUIRED.